

PLEASE SELECT THE FUND(S) YOU WISH TO INVEST

 INDICATE THE AMOUNT
 YOU WISH TO INVEST

Stanbic IBTC ETF 30
 Stanbic IBTC Pension ETF 40
 Stanbic IBTC Nigerian Equity Fund
 Stanbic IBTC Ethical Fund
 Stanbic IBTC Imaan Fund
 Stanbic IBTC Balanced Fund
 Stanbic IBTC Bond Fund
 Stanbic IBTC Dollar Fund
 Stanbic IBTC Guaranteed Investment Fund
 Stanbic IBTC Shari'ah Fixed Income Fund
 Stanbic IBTC Enhanced Short-Term Fixed Income Fund
 Stanbic IBTC Money Market Fund

Referral code

Indicate the code of the person who referred you

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Please make payments only after receiving your unique identifier (e-account number) via e-mail or text message

CSCS Number

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Clearing Hooose Number

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A Shariah compliant mutual fund governed by the principles of Islamic finance as approved by the Shariah Advisory Committee.

NOTE: If you wish to purchase units of any Fund(s) for a person under 18years, kindly state the person's full name and date of birth in the space provided at the bottom of the page

PERSONAL DETAILS OF APPLICANT(S)

INDIVIDUAL APPLICANT

Title (Mr, Mrs, Miss, Chief, Dr, others)
 First Name
 Middle Name
 Surname
 Date of Birth

D	D	M	M	Y	Y	Y	Y

 Gender ☐ F ☐ M
 Residential Address
 Email address
 Marital status
 Maiden Name
 Mobile phone
 Country of birth
 LGA of residence
 State of residence
 Country of tax residence
 Nationality
 State of origin
 Other nationality or citizenship
(For those with dual nationality or nationality other than Nigeria)
 Religion
 Mother's maiden name
 ID Card type
 ID Card number
 Issue date

D	D	M	M	Y	Y	Y	Y

 Expiry date

D	D	M	M	Y	Y	Y	Y

 Occupation
 Employer's name
 Employment status
(if retired, state company of retirement)
 Tin or social security no
 Grade Level
(applicable to Civil Servants)
 National identity card no (NIMC)
 Bank Verification Number
 Are you a PEP? ☐ YES ☐ NO

Have you or any of your close relatives/associates occupied any Political Position? If Yes, please give details:

JOINT APPLICANT

Title (Mr, Mrs, Miss, Chief, Dr, others)
 First Name
 Middle Name
 Surname
 Date of Birth

D	D	M	M	Y	Y	Y	Y

 Gender ☐ F ☐ M
 Residential Address
 Email address
 Marital status
 Maiden Name
 Mobile phone
 Country of birth
 LGA of residence
 State of residence
 Country of tax residence
 Nationality
 State of origin
 Other nationality or citizenship
(For those with dual nationality or nationality other than Nigeria)
 Religion
 Mother's maiden name
 D Card type
 D Card number
 Issue date

D	D	M	M	Y	Y	Y	Y

 Expiry date

D	D	M	M	Y	Y	Y	Y

 Occupation
 Employer's name
 Employment status
(if retired, state company of retirement)
 Tin or social security no
 Grade Level
(applicable to Civil Servants)
 National identity card no (NIMC)
 Bank Verification Number
 Are you a PEP? ☐ YES ☐ NO

Have you or any of your close relatives/associates occupied any Political Position? If Yes, please give details:

INVESTING FOR A CHILD

Gender ☐ F ☐ M
 First Name
 Middle Name

Surname
 Date of Birth

D	D	M	M	Y	Y	Y	Y

 Country of Birth

BANK DETAILS

Bank Name	<input type="text"/>	Bank Account Number	<input type="text"/>
Bank Account Name	<input type="text"/>		

You are responsible for the accuracy of the bank account details provided and Stanbic IBTC Asset Management will not be liable for any loss that may arise due to the inaccuracy of the bank account details provided by you.

For Stanbic IBTC Dollar Fund (only) fill the below;

Beneficiary Bank Address	<input type="text"/>	Beneficiary Bank Swift Code	<input type="text"/>
Beneficiary Bank Sort Code	<input type="text"/>	Intermediary Bank name	<input type="text"/>
Intermediary Bank Address	<input type="text"/>	Intermediary Bank Account number	<input type="text"/>
Intermediary Bank Swift Code	<input type="text"/>	Intermediary Bank ABA	<input type="text"/>

NEXT OF KIN

Title (Mr, Mrs, Miss, Chief, Dr)	<input type="text"/>																
First Name	<input type="text"/>																
Middle Name	<input type="text"/>																
Surname	<input type="text"/>																
Date of Birth	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td><td><input type="text"/></td> </tr> </table>	D	D	M	M	Y	Y	Y	Y	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>										
Gender	<input type="checkbox"/> F <input type="checkbox"/> M <input type="checkbox"/> Title (Specify) <input type="text"/>																
Residential Address	<input type="text"/>																
State of origin	<input type="text"/>																
Mobile Phone	<input type="text"/>																
Relationship	<input type="text"/>																
Email Address	<input type="text"/>																

NEXT OF KIN

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D	D	M	M	Y	Y	Y	Y										
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>										
Gender	<input type="checkbox"/> F <input type="checkbox"/> M <input type="checkbox"/> Title (Specify) <input type="text"/>																
Residential Address	<input type="text"/>																
State of origin	<input type="text"/>																
Mobile Phone	<input type="text"/>																
Relationship	<input type="text"/>																
Email Address	<input type="text"/>																

DIVIDEND PAYMENTS (Applicable to only the Stanbic IBTC Money Market Fund)

Reinvested In the Fund	<input type="checkbox"/>	Transfer to Bank Details Provided	<input type="checkbox"/>
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ACCESS TO ONLINE REDEMPTION SERVICE

<input type="checkbox"/> Yes	<input type="checkbox"/> No
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The Online Redemption service enables unit-holders to redeem their investments in the mutual funds over the online platform provided by Stanbic IBTC Asset Management. For Joint account holders: Please note that by executing this form, you have both given consent to Stanbic IBTC Asset Management to effect: (1) all redemption requests initiated by either party via the online redemption platform; (2) the transfer of online redemption proceeds to the bank account details provided on this form without further recourse to any of the joint account holders. For this purpose, the bank account details shall either be a joint bank account in the name of the joint account holders or the individual bank account of either of the joint account holders. Please note that online redemption is processed within 2 (two) business days; and (3) Where this investment is used as collateral securing a facility/loan by either of the joint account holders, a lien would be placed on the account as stated in the confirmed accepted facility/loan offer letter without further recourse to any of the joint account holders.

DIRECT DEBIT MANDATE

Would you like to set up a direct debit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	(If "Yes," please proceed to fill out page 5 of this form to activate your request.)
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HOW DID YOU HEAR ABOUT US?

<input type="checkbox"/> Stanbic IBTC Bank Branch	<input type="checkbox"/> Online-Website	<input type="checkbox"/> Networking/ Referral	<input type="checkbox"/> Radio	<input type="checkbox"/> Billboard
<input type="checkbox"/> Newspaper AD	<input type="checkbox"/> SMS	<input type="checkbox"/> TV	<input type="checkbox"/> Sponsored Event Etc	<input type="checkbox"/> Campaign Promo
<input type="checkbox"/> Social Media	<input type="checkbox"/> Direct Marketing Mail/E mail	<input type="checkbox"/> Others (Please Specify)	<input type="text"/>	

INVESTMENT OBJECTIVE

<input type="checkbox"/> Wedding	<input type="checkbox"/> Car	<input type="checkbox"/> School Fees	<input type="checkbox"/> Housing	<input type="checkbox"/> Holiday	<input type="checkbox"/> Alternative Source of Income
<input type="checkbox"/> Value of Investment Objective	<input type="checkbox"/> Timeframe	<input type="checkbox"/> Others (Please Specify)	<input type="text"/>		

INCOME RANGE PER ANNUM

<input type="checkbox"/> ₦5,000 - ₦1,000,000	<input type="checkbox"/> ₦1,000,000 - ₦5,000,000	<input type="checkbox"/> ₦5,000,000 - ₦10,000,000	<input type="checkbox"/> ₦10,000,000 - ₦20,000,000
<input type="checkbox"/> ₦20,000,000 - and above			

DECLARATION BY APPLICANT(S)

I/ We declare that: I/ We confirm that I/ We have read table 1.1 and thus understand that, as with all capital market investments, the prices of funds invested in quoted securities (i.e. Stanbic IBTC Nigerian Equity Fund, Stanbic IBTC Ethical Fund, Stanbic IBTC Imaan Fund, Stanbic IBTC Balanced Fund, Stanbic IBTC Guaranteed Investment Fund, Stanbic IBTC Bond Fund, Stanbic IBTC Dollar Fund, Stanbic IBTC ETF 30, SIAML Pension ETF 40) may fluctuate, and that past performance is not necessarily an indication of future performance. I/ We agree to comply with the minimum tenor(s) of the investment as shown in Table 1.1, failing which I/ We accept my/ our loss, cost and charges that may arise as a result of my/ our redemption.

I/ We understand that in the event that I/ We am/are unable to furnish Stanbic IBTC Asset Management with all required account opening / KYC documentation, my/ our funds will be returned to me/us and I/ We shall not hold Stanbic IBTC Asset Management liable for any diminution, loss of interest or transfer charges in the event that my/ our funds are returned.

I/ We have read and agree with terms and conditions of the Stanbic IBTC Imaan Fund I/ We hereby confirm that the information provided above is true, accurate and complete. Subject to applicable local laws I/ We hereby consent and authorise for Stanbic IBTC Asset Management to share my/our information with domestic and overseas tax authorities where necessary to establish my/ our tax liability in any jurisdiction. Where required by domestic or overseas regulators or tax authorities I/ We consent and agree that Stanbic IBTC Asset Management may withhold from my/ our account(s) such amounts as may be required according to applicable laws, regulations and directives. I/ We agree to notify Stanbic IBTC Asset Management within 30 calendar days if there is a change in any information which I/ We have provided to Stanbic IBTC Asset Management.

DATA PRIVACY CONSENT

Definitions

Group: Standard Bank Group, its subsidiaries and their subsidiaries including Stanbic IBTC Asset Management.

Stanbic IBTC Asset Management: we, us, our

Personal Information: Information about an identifiable, natural person and where applicable, a juristic person, including, but not limited to information about: race, gender, sex, pregnancy, marital status, nationality, ethnic or social origin, colour, sexual orientation, age, physical or mental health, well-being, disability, religion, conscience, belief, culture, language, birth, education, medical, financial, criminal or employment history, any identifying number, symbol, e-mail, postal or physical address, telephone number, location, any online identifier, any other particular assignment of the person, biometric information, personal opinions, views or preferences of the person or the views or opinions of another individual about the person, correspondence sent by the person that is implicitly or explicitly of a private or confidential nature or further correspondence that would reveal the contents of the original correspondence, and the name of the person if it appears with other personal information relating to the person or if the disclosure of the name itself would reveal information about the person.

Process: Any operation or activity, automated or not, concerning Personal Information, including: alteration, blocking, collation, collection, consultation, degradation, destruction, dissemination by means of transmission, distribution or making available in any other form, erasure, linking, merging, organisation, receipt recording, retrieval, storage, updating, modification, or the use of information. Processing and Processed will have a similar meaning.

Data protection

1. You consent to us collecting your personal information from you and where lawful and reasonable, from public sources for credit, fraud and compliance purposes, as well as the purposes set out below.
2. If you give us Personal Information about or on behalf of another person (including, but not limited to, account signatories, shareholders, principal executive officers, trustees and beneficiaries), you confirm that you are authorised to: (a) give us the Personal Information; (b) consent on their behalf to the Processing of their personal information, specifically any cross-border transfer of personal information into and outside the country where the products or services are provided; and (c) receive any privacy notices on their behalf.
3. You consent to us processing your personal information:
 - to provide products and services to you in terms of this agreement and any other products and services for which you may apply
 - to carry out statistical and other analyses to identify potential markets and trends, evaluate and improve our business (this includes improving existing and developing new products and services);
 - In countries outside the country where the products or services are provided. These countries may not have the same data protection laws as the country where the products or services are provided. Where we can, we will ask the receiving party to agree to our privacy policies;
 - By sharing your personal information with our third-party service providers, locally and outside the country where the products or services are provided. We ask people who provide services to us to agree to our privacy policies if they need access to any Personal Information to carry out their services; and within the Group.
4. You will find our Processing practices in the Group's and our privacy statements. These statements are available on the Group's websites or on request.
5. If you are unsure about your tax or legal position because your personal information is processed in countries other than where you live, you should get independent advice.

MARKETING CONSENT

Permission to market products and services

As part of our service we would like to give you information about products and services offered by the Group, which we believe may benefit you. The Group means Standard Bank Group, its subsidiaries and their subsidiaries including Stanbic IBTC Asset Management. As your personal information is confidential, we need your consent to share it within the Group.

Consent	Please tick as applicable								
I give my consent that you may: <ul style="list-style-type: none"> • Send other companies' products, services and special offers to me. If I respond positively to the communication, that company may contact me. • Contact me for research purposes. (The research companies we use follow strict codes of conduct and treat customer information confidentially). • Market your products, services and special offers to me. • Share my personal information within the Group for marketing purposes, and that the Group may then market its products, services and special offers to me. 	<table border="0"> <tr> <td><input type="checkbox"/> YES</td> <td><input type="checkbox"/> NO</td> </tr> <tr> <td><input type="checkbox"/> YES</td> <td><input type="checkbox"/> NO</td> </tr> <tr> <td><input type="checkbox"/> YES</td> <td><input type="checkbox"/> NO</td> </tr> <tr> <td><input type="checkbox"/> YES</td> <td><input type="checkbox"/> NO</td> </tr> </table>	<input type="checkbox"/> YES	<input type="checkbox"/> NO	<input type="checkbox"/> YES	<input type="checkbox"/> NO	<input type="checkbox"/> YES	<input type="checkbox"/> NO	<input type="checkbox"/> YES	<input type="checkbox"/> NO
<input type="checkbox"/> YES	<input type="checkbox"/> NO								
<input type="checkbox"/> YES	<input type="checkbox"/> NO								
<input type="checkbox"/> YES	<input type="checkbox"/> NO								
<input type="checkbox"/> YES	<input type="checkbox"/> NO								

Please note that CASH is not an acceptable mode of payment and all fields with (*) are compulsory

Signature of Unit Holder	Date	Signature of Unit Holder	SIGNATURE MANDATE <input type="checkbox"/> Either to Sign <input type="checkbox"/> Both to Sign
Individual/Joint Unit Holder's Signature		Individual/Joint Unit Holder's Signature	
Name/Designation		Name/Designation	

Our complaint management policy is available on our website www.stanbicibtcassetmanagement.com

To ensure the security of your funds, please **DO NOT** transfer money to any personal or agent's bank account. All transactions should be made directly into the Stanbic IBTC Asset Management Limited collection bank accounts. If you have any questions or concerns, please contact our customer support. Email: Investments@stanbicibtc.com Tel: +234 0700 0080 0900

Stanbic IBTC Place, 1st floor, Building B
Walter Carrington Crescent, Victoria Island, Lagos

Dear Sir/Ma,
AUTHORISATION GIVEN IN RELATION TO THE PROCESSING OF ELECTRONIC MAIL INSTRUCTIONS ISSUED IN RELATION TO INVESTMENTS HELD WITH
STANBIC IBTC ASSET MANAGEMENT OPERATED
BY _____

I/We refer to Investment(s) in Stanbic IBTC Mutual Funds with account number(s) _____

.....
(hereinafter jointly and severally called "the Investment" which expression shall be deemed to include any reclassification or renumbering of the investments specified herein as well as any other investments that I/We may subsequently establish and operate with Stanbic IBTC Asset Management at Stanbic IBTC Place, 1st floor, Building B Walter Carrington Crescent Victoria Island Lagos or such other office or offices as Stanbic IBTC Asset Management may from time to time maintain elsewhere in Nigeria) and wish to advise and confirm as follows:

1. That I/we hereby authorise Stanbic IBTC Asset Management to honour for and to debit the Investment in line with any and all payment instructions received in my/our name in accordance with the agreed mandate for the operation of the Investment which mandate it received in a format which bears or purports to bear the electronic scan, facsimile or electronic mail signature of the person(s) whose specimen signature(s) are from time to time provided by me/us in relation to the mandate for the Account and where Stanbic IBTC Asset Management honoured such instructions in such circumstances prior to the date hereof its actions in that regard are hereby rectified confirmed and approved
2. That Stanbic IBTC Asset Management is authorised to honour for and to the debit the Investment any and all payment instructions/confirmations issued or provided by me/us using the format for such instructions/confirmation agreed with Stanbic IBTC Asset Management and which format includes but is not limited to written instructions/confirmation and where such instructions/confirmations are given in writing may be given by letter, electronic scan, or electronic mail as provided in item 1 hereof and where Stanbic IBTC Asset Management has honoured any written instructions/confirmations in such circumstances prior to the date hereof its actions in that regard are hereby rectified confirmed and approved.
3. That I/We hereby authorise Stanbic IBTC Asset Management to honour for and to the debit the Investment any and all redemption instructions that are in such amounts as may from time to time fall within the category of redemption instructions for which confirmations are not required and where such requests are honoured, in the absence of fraud or negligence by Stanbic IBTC Asset Management or any of its employees, Stanbic IBTC Asset Management shall not be held liable for complied with and/or did not actually emanate from me/us.
4. That all authorisations given by me/us and certified to Stanbic IBTC Asset Management as currently governing the operation of the Investment are hereby continued in full force and effect except as the same may have been supplemented or modified by the terms of this authorisation unless and except this authorisation is revoked or modified by any subsequent authorisation issued by me/us and a copy of such subsequent authorization has been received by Stanbic IBTC Asset Management.
5. I/We understand and acknowledge that electronic scan, electronic mail, facsimile and verbal communications are insecure transmission media. I/We hereby undertake to indemnify Stanbic IBTC Asset Management in full for any loss you may suffer or incur by reason of honouring such instructions via electronic mail, facsimile or other formats agreed between me/us with Stanbic IBTC Asset Management provided Stanbic IBTC Asset Management has taken all measures prescribed by its internal policies and practices to verify the authenticity of such communications irrespective of whether same are in fact erroneous fraudulent or issued otherwise than as aforesaid.
6. This Indemnity shall remain valid and binding on me/us throughout the period that the Account is maintained and operated by me/us with Stanbic IBTC.

Dated thisday of20.....

Name of Unit Holder(s).....

Signature(s)_____

In the presence of

Name _____

Address _____

Occupation _____

Signature _____

Direct Debit Form

Please tick appropriate box below

- ☐ Corporate Account Direct Debit
☐ Individual or Joint Account Direct Debit

The Branch Manager,

MUTUAL FUND DIRECT DEBIT MANDATE

I/We hereby authorise STANBIC IBTC ASSET MANGEMENT to debit my/our bank account with the following details:

BANK DETAILS

Bank Name	<input type="text"/>	Account Number (NUB AN)	<input type="text"/>
Bank Account Name	<input type="text"/>		
Account type	<input type="text"/>	Email Address	<input type="text"/>
Home Address	<input type="text"/>		
	<input type="text"/>	Phone Number	<input type="text"/>

And credit my mutual fund account (s) as follows:

MUTUAL FUND ACCOUNT DETAILS AND FREQUENCY OF PAYMENT

S/N	MUTUAL FUND ACCOUNT NUMBER	E-ACCOUNT NUMBER	FUND TYPE	AMOUNT (N)	MONTHLY	QUARTERLY	HALF YEARLY	YEARLY

Direct debit mandate should take effect from the day of 20

Name	<input type="text"/>	Name	<input type="text"/>
Designation	<input type="text"/>	Designation	<input type="text"/>
Signature	<input type="text"/>	Signature	<input type="text"/>
Date	<input type="text"/>	Date	<input type="text"/>

If the applicant is a corporate body, please ensure two authorised signatories sign, state their designation and apply Company Seal. While the parties sign over a postage stamp.

PLEASE NOTE THAT:

- This mandate shall remain effective until a counter-instruction is received by Stanbic IBTC Asset Management.
- Every transaction (that is debit into your account) attracts a processing fee of not more than N105.
- For bank accounts not domiciled with Stanbic IBTC Bank, subscription will be posted or effected two business days after the due date (that is T+2).
- Direct debit mandate received less than fourteen days from the due date will automatically take effect from the following month.
- Your direct debit instruction will automatically be cancelled after three unsuccessful debits from your account.

APPLICATION CHECKLIST:

	Individual/Joint
<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	This completed Purchase Order Form 1 Passport photograph of each applicant/signatory(s) 1 Proof of identity of each of the applicant/signatories, e.g. Driver's License, International Passport, National ID Card, etc 1 Proof of address of applicant, e.g. Utility Bill, Telephone Bill (not more than 3months old) etc

It is advisable to consult your financial advisor, accountant and/or solicitor to ensure proper understanding of the investment before subscribing to any of our mutual funds. Units of the chosen fund(s) will be purchased at the prevailing offer price on the day the subscription form and evidence of fund transfer/payment is received. Foreign currency subscribers are advised to contact the Fund Manager, Stanbic IBTC Asset Management for the applicable Foreign Currency exchange rate on the day the remittance is being effected. Please note that redemptions are processed within five (5) business days.

Please see below the correspondence bank details for transfer into the Stanbic IBTC Dollar Fund Only (This applies to transfers not initiated directly from a Standard Chartered bank account)

Beneficiary Bank	Standard Chartered
Beneficiary Bank Account Name	First Trustees/Stanbic IBTC Dollar Fund - Collection Account
Beneficiary Bank Account No	Personalised Account Number
Beneficiary Bank Swift No	SCBLNGLAPYT
Beneficiary Sort Code	068150057
Bank IBAN No	IBAN GB22 SCBL 6091 0457 0836 02
Correspondent Bank Name	Standard Chartered Bank N.Y
Correspondent Bank Address	One Madison Avenue New York 10010-3603
Correspondent Bank Account No	3582088704001
Correspondent Bank Swift Code	SCBLUS33
Correspondent Bank ABA	026002561

Stanbic IBTC Asset Management
 Head Office:
 Stanbic IBTC Place,
 1st Floor Building B,
 Walter Carrington Crescent
 Victoria Island, Lagos
 Tel: +234 0700 0080 0900

Abuja Office:
 Pated Marble Plaza
 1049 Ahmadu Bello Way
 Area II, Garki, Abuja
 Tel: +234 0803 450 7404, 0803 211 3861

Port Harcourt Office:
 269 Port Harcourt/Aba Expressway
 Opposite Shell Residential Area
 Port Harcourt, Rivers State
 Tel: 01-4222449, 01-4221825, 01-4221864
 0706 965 0783, 0806 164 3707

Email: investments@stanbicibtc.com

Website: www.stanbicibtcassetmanagement.com

Stanbic IBTC Asset Management. RC 209744

